

<p>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</p> <p>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 USC 371 AND 37 CFR 1.491</p>		<p>ATTORNEY DOCKET NO. 213578</p> <p>U.S. APPLICATION NO. 09/936,683</p>
<p>INTERNATIONAL APPLICATION NO. PCT/JP00/01588</p>	<p>INTERNATIONAL FILING DATE 15 March 2000</p>	<p>PRIORITY DATE CLAIMED 17 March 1999</p>
<p>TITLE OF INVENTION SEMICONDUCTOR BASE AND ITS MANUFACTURING METHOD, AND SEMICONDUCTOR CRYSTAL MANUFACTURING METHOD</p>		
<p>APPLICANT(S) FOR DO/EO/US TADATOMO et al.</p>		
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> 1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 USC 371 and 37 CFR 1.491. 2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 USC 371 and 37 CFR 1.491. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 USC 371(f)). 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31). 5. <input type="checkbox"/> A copy of the International Application as filed (35 USC 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 USC 371(c)(2)). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 USC 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)). 11. Nucleotide and/or Amino Acid Sequence Submission <ol style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ol style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper Copy c. <input type="checkbox"/> Statement verifying identity of above copies <p>Items 12 to 19 below concern other document(s) or information included:</p> <ol style="list-style-type: none"> 12. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. <div style="margin-left: 20px;"> <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of Listed Documents </div> 13. <input type="checkbox"/> An assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 14. <input type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> Application Data Sheet Under 37 CFR 1.76 18. <input checked="" type="checkbox"/> Return Receipt Postcard 19. <input checked="" type="checkbox"/> Other items or information: Copy of Notification of Missing Requirements (2 pages) 		

U.S. APPLICATION NO. 09/936,683		INTERNATIONAL APPLICATION NO. PCT/JP00/01588			ATTORNEY DOCKET NO. 213578	
20. <input checked="" type="checkbox"/> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$ 890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO, but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$ 740.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$ 710.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1) to (4) \$ 100.00					CALCULATIONS	PTO USE ONLY
					ENTER APPROPRIATE BASIC FEE AMOUNT=	
Surcharge of \$130.00 for furnishing the National fee or oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date					\$130.00	
Surcharge of \$130.00 previously paid on November 30, 2001					-\$130.00	
CLAIMS	NUMBER FILED	NUMBER PREVIOUSLY PAID FOR	EXTRA CLAIMS PRESENT	RATE		
Total Claims	21 minus	21	0	x \$ 18.00	\$0.00	
Independent Claims	5 minus	5	0	x \$ 84.00	\$0.00	
<input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)				+\$280.00	\$0.00	
TOTAL OF ABOVE CALCULATIONS=					\$0.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					\$0.00	
SUBTOTAL=					\$0.00	
Processing fee of \$130.00 for furnishing English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date.					\$0.00	
TOTAL NATIONAL FEE=					\$0.00	
Fee for recording the enclosed assignment. The assignment must be accompanied by an appropriate cover sheet. \$40.00 per property					\$0.00	
TOTAL FEE ENCLOSED=					\$0.00	
					Amount to be:	
					refunded	\$
					charged:	\$

a. ☐ A check in the amount of \$0.00 to cover the above fee is enclosed.


b. ☐ Please charge Deposit Account No. 12-1216 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-1216. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

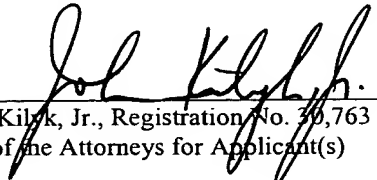
SEND ALL CORRESPONDENCE TO:

Customer Number: 23460



23460

PATENT TRADEMARK OFFICE



John Kilyk, Jr., Registration No. 30,763
One of the Attorneys for Applicant(s)

Date: March 21, 2002

U.S. APPLICATION NO. 09/936,683	INTERNATIONAL APPLICATION NO. PCT/JP00/01588	ATTORNEY DOCKET NO. 213578
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CERTIFICATION UNDER 37 CFR 1.10

"Express Mail" Label Number: EL841132864US

Date of Deposit: March 21, 2002

I hereby certify that this express request to begin national examination procedures under 35 USC 371(f) of the International Patent Application referenced above, including all of the items listed thereon as enclosures, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to Box PCT, Commissioner for Patents, Attention: DO/EO/US – Christine S. Washington, Washington, D.C. 20231.

Rick D. Madsen

Printed Name of Person Signing:

Rick D. Madsen

Signature



UNITED STATES PATENT AND TRADEMARK OFFICE

JKJ / ~~XXXX~~
 Commissioner for Patents, Box 901
 United States Patent and Trademark Office
 Washington, D.C. 20591
 www.uspto.gov

U.S. APPLICATION NUMBER NO. 09/936,683	FIRST NAMED APPLICANT Tadatomo	ATTY. DOCKET NO. 213578
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INTERNATIONAL APPLICATION NO. PCT/JP00/01588	
I.A. FILING DATE 03/15/2000	PRIORITY DATE 03/17/1999

23460
 LEYDIG VOIT & MAYER, LTD
 TWO PRUDENTIAL PLAZA, SUITE 4900
 180 NORTH STETSON AVENUE
 CHICAGO, IL 60601-6780

CONFIRMATION NO. 8963

371 FORMALITIES LETTER



OC000000007451077

Date Mailed: 02/12/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Request for Immediate Examination

LEYDIG, VOIT & MAYER
 RECEIVED

FEB 20 2002

PAT/TM Due Date 4-12-02
9-12-02 final

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

LAH

CMC

CHRISTINE S WASHINGTON

Telephone: (703)-305-3752

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO
09/936,683	PCT/JP00/01588	213578

FORM PCT/DO/EO/905 (371 Formalities Notice)